



CYBER QUESTIONNAIRE

NOTE: PLEASE PRINT IN INK OR TYPE. DO NOT USE CORRECTION FLUID OR GEL PENS. INITIAL AND DATE ANY CHANGES YOU MAKE.

Firm Name _____

Firm Address _____ City _____ State _____ Zip _____

Email Address _____ Phone Number _____ Fax Number _____

Entity Type: LLC Corporation Individual LP LLP Partnership Is this company a non-profit? Yes No

Desired Limit: \$250K \$500K \$1M Year Firm Incorporated _____ Firm Revenue \$ _____ # of Employees _____

of IC's _____ FT _____ PT _____ Firm Website or Email Domain _____

Does the applicant own/operate any other business under the same legal entity that will not be quoted? Yes No

Has the applicant, a majority owner, partner, or member filed for bankruptcy in the past 5 years? Yes No

Has any policy or coverage been cancelled or non-renewed in the past 3 years? Yes No

Desired Effective Date: _____ % of Domestic Revenue: _____

1. Do you know the number of protected records stored? If no, please approximate:
 <250 <500 <1,000 <2,500 <5,000 <10,000 <25,000 <50,000
2. What type of customer group does the firm typically serve? 90% Corporate 90% Consumers Mixed corporate and consumer customers
3. Does the applicant derive more than 50% of its revenue from technology products and services (e.g. software, electronics, telecom)? Yes No
4. In the past 3 years, has the applicant had any potential or actual PL, E&O, media, cyber incidents, or claims? Yes No
 If yes, was it more than 25,000? Yes No
5. Is the applicant aware of any notices, suits, facts, or circumstances that could reasonably be expected to give rise to a PL, E&O, media, or cyber incident or claim? Yes No
6. Does the applicant accept payment card (credit/debit card) transactions? Yes No
 If yes, is the applicant PCI compliant? Yes No
7. Does the applicant deal with protected health information as defined by HIPAA? Yes No
 If yes, is the applicant compliant with HIPAA and HITECH act? Yes No
8. Which of the following IT security controls does the applicant have in place?
 - Critical Software Patching Procedures: Yes No Unknown
 - Formal Data Backup and Recovery Procedures in place and tested periodically: Yes No Unknown
 - Formal Vulnerability Management and Software Patching Procedures: Yes No Unknown
 - Formal Cyber Incident Response Plan in place and tested periodically: Yes No Unknown
 - Antivirus and Firewalls (Windows 10 or higher qualifies for <10 employees): Yes No Unknown
 - Encryption of Sensitive Data at Rest and In Transit: Yes No Unknown
 - Encryption of Mobile Computing Devices: Yes No Unknown
 - Multifactor Authentication on Corporate Email: Yes No Unknown
 - Multifactor Authentication on Corporate Network, Systems, VPNs: Yes No Unknown
9. Does the applicant rely on cloud computing, software-as-a-service or any other outsourced computer hosting for revenue-generating operations? Yes No

If Yes, what % of day to day operations rely on these services _____

If Yes, select the best description of offsite redundancies in place of these services

No Offsite Redundancy 24+ Hour Cold Site 01-23 Hour Warm Site RealTime Mirrored Hot Site

10. Are there any scheduled providers to add for contingent business income? Yes No
11. Does the applicant intend to purchase E&O on a separate and distinct policy? Yes No
12. Has the applicant obtained legal review of trademarks, including domain names? Yes No Unknown
13. Within the last 3 years, has the company been subject to any complaints concerning the content of its website, advertising materials, social media, or other publications? Yes No
14. Does the company enforce procedures to remove content (including third party content) that may infringe or violate any intellectual property or privacy right? Yes No
15. Does the applicant require that all outgoing payments or funds transfers be subject to segregations of duties between initiation and authorization, such that no one individual can control the entire process? Yes No
16. Does the applicant require a secondary means of validation (i.e. phone call) to validate the authenticity of funds transfer requests before processing a request in excess of \$25,000? Yes No
If No - Would the applicant consider doing this going forward, should a transfer of this size occur: Yes No

Cyber Questionnaire FAQ/Definitions

of employees means anyone that works for the company and has access to company computer systems and records.

Independent contractors should be included in the employee count but also need to be broken out into Full time and Part time status Protected Records/Protected Information means the following, in any format:

1. a natural person's name, e-mail address, social security number, medical or healthcare data, other protected health information, driver's license number, state identification number, credit card number, debit card number, address, unpublished telephone number, account number, account histories, personally identifiable photos, personally identifiable videos, Internet browsing history, biometric records, passwords or other non-public personal information as defined in any Privacy or Cyber Laws; or
2. any other third party confidential or proprietary information a. provided to an Insured and protected under a nondisclosure agreement or similar contract; or b. which an Organization is legally responsible to maintain in confidence.

Multifactor Authentication is an electronic authentication method in which a user is granted access to a website or application only after successfully presenting two or more pieces of evidence to an authentication mechanism.

Example: When logging in to the company network, the user receives a message on their phone that they can click or enter a code to validate their credentials.

Cloud Computing is the delivery of computing services—including servers, storage, databases, networking, software, analytics, and intelligence—over the Internet (“the cloud”) to offer faster innovation, flexible resources, and economies of scale.

There are 3 types of cloud computing. Infrastructure as a Service (IaaS), Platform as a Service (PaaS), and Software as a Service (SaaS).

Software as a service is a 3rd party software licensing and delivery model in which software is licensed on a subscription basis and is centrally hosted. It means instead of installing software on your computer, you access the platform online.

Examples - BigCommerce, Google Apps (Drive, Calendar), Salesforce, Dropbox, MailChimp, ZenDesk, DocuSign, Slack, Hubspot, Square.

Platform as a service is a complete development and deployment environment in the cloud, with resources that enable you to deliver everything from simple cloud-based apps to sophisticated, cloud-enabled enterprise applications. It provides computing platforms such as operating systems, programming language execution environments, databases, and web servers.

Examples - WS Elastic Beanstalk, Google App Engine, Windows Azure, OpenShift, Magento Commerce Cloud.

Infrastructure as a service is a cloud computing service where enterprises rent or lease servers for compute and storage in the cloud. It provides infrastructure components such as servers, storage, networking, security, and moreover the cloud. Users can run any operating system or applications on the rented servers without the maintenance and operating costs of those servers.

Examples - AWS EC2, Rackspace, Google Compute Engine (GCE), Magento 1 Enterprise Edition, Dropbox, Azure.

An **offsite redundancy** makes sure that if something fails, you are able to work regardless of the problem. Examples include Hot Sites, Warm Sites or Cold Sites. **Hot** - A Hot Site is up and running continuously. It allows a company to continue normal business operations, within a very short period of time after a disaster. Hot Site must be online and must be available immediately. **Warm** - A Warm Site is not as equipped as a Hot Site and is not ready for immediate switch over. The time to switch over to Warm Site is more than that of a Hot Site. **Cold** - Cold Sites contain even fewer options than a Warm Site. Cold Site will take more time than a Warm Site or Hot Site to switch operations.